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Atty. Docket/6173-4007US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Jani EKMAN et al.

Serial No.

09/937,047

Filing Date

January 8, 2002

Title

HANDOVER IN A MOBILE COMMUNICATION SYSTEM

USING CONFERENCE FACILITY

Examiner

Julio Perez

Group Art Unit

2681

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

May 27, 2005

PETITION FOR REVIVAL AND FOR ACCEPTANCE OF ISSUE AND PUBLICATION FEES AND COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Sir:

Applicants received the Notice of Abandonment (attached hereto as Exhibit 1) on May 23, 2005. The Notice states that the application was abandoned due to applicant's "failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85)". Although the Notice of Allowance was mailed on January 11, 2005, it was erroneously mailed to the wrong address (the incorrect address is on the accompanying Issue Fee Transmittal Form). Despite the filing of a Power of Attorney and a Change of Correspondence Address on August 19, 2004 (attached hereto as Exhibit 2) on August 19, 2004, the Patent Office mistake occurred because mailing address was inexplicably not changed until after the Notice of Allowance was mailed, as shown by the Notice of Acceptance of Power of Attorney dated January 19, 2005 (see attached Exhibit 3).

Applicants respectfully submit this Petition in order to revive this application and to permit payment of the issue and publication fees simultaneously. Furthermore, although applicants authorize any necessary petition or other fee to be charged to the below noted deposit

Atty. Docket 6173-4007US

account, it is respectfully submitted that no such fee should be charged because the abandonment was caused solely by Patent Office mistake.

Please charge any necessary fees, including petition fees, to Deposit Account No. 02-4270 (6173-4007) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

Robert M. Bauer, Reg. No. 34,487

Brown Raysman Millstein Felder & Steiner, LLP

900 Third Avenue

New York, NY 10022 Tel.: (212) 895-2000

Fax: (212) 895-2900



FACSIMILE COVER SHEET

From:

1.

Robert M. Bauer, Esq.

May 27, 2005

Direct Dial:

212-895-2630

Client/Matter #:

6173/4007US

PLEASE DELIVER AS SOON AS POSSIBLE TO:

Recipient OFFICE OF Company

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PATENT **PUBLICATION** US Patent & Trademark Office

703-746-4000

Total number of pages including this page: 15 If you do not receive all the pages, please call 212-895-2984.

In re Application of

Jani EKMAN et al.

Serial No.

09/937,047

Filing Date

Title

January 8, 2002

HANDOVER IN A MOBILE COMMUNICATION SYSTEM

USING CONFERENCE FACILITY

Examiner

Julio Perez

Group Art Unit

2681

PLEASE FIND ENCLOSED:

PETITION FOR REVIVAL AND FOR 1)

ACCEPTANCE OF ISSUE AND

PUBLICATION FEES AND

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE (WITH

EXHIBITS 1-3)

ISSUE FEE TRANSMITTAL FORM 2)

COMMENTS ON STATEMENT OF 3)

REASONS FOR ALLOWANCE



EXHIBIT 1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office AGiress: COMMISSIONER FOR PATENTS P.O. Box 1459 Alexendria, Viginia 22313-1450

	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/937,047	01/08/2002	Jani Ekman	NOKI14-00007	6306
43829 75	90 05/16/2005		EXAM	INER
ROBERT M.E	BAUER ESO		PEREZ, J	ULIO R
BROWN RAYS	SMAN MILLSTEIN FEL	DER & STEINER	ART UNIT	PAPER NUMBER
900 THIRD AV NEW YORK, 1			2681	
11217 121114	· · -		DATE MAILED: 05/16/200	5

Please find below and/or attached an Office communication concerning this application or proceeding.

Docket Date BRMFS# 61737409745
Attorney(s): (M)/(M)/(L) Action:
Action Date:
Initials:

MAY 2 7 2005 40



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

			ATTORNEY DOCKET NO.
APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ALTORNET DOCKET NO.
APPLICATION MAINBER!	FILING DATE	111107 10111111111111111111111111111111	

09937047

EXAMINER						
ART UNIT	PAPER NUMBER					

DATE MAILED:

	NOTICE OF ABANDONMENT
This ap	plication is abandoned in view of:
	Applicant's failure to timely file a proper reply to the Office letter mailed on
	A reply (with Certificate of Mailing or Transmission of) was received on which is after the expiration of the period for reply (including a total extension of time of month(s)) which expired on
	A proposed reply was received on, but it does not constitute a proper reply under
	A proposed reply was received on, but it does not constitute a proper reply under 37 CFR 1.113 to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only cf: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compiliance with 37 CFR 1.114).
	A reply was received on, but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in the last box below).
	No reply has been received.
M	Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
	The issue fee and publication fee, if applicable, was received on (with a Certificate of Malling or Transmission dated), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85)(or Notice of Publication Fee Due).
	The submitted fee of \$ is insufficient. A balance of \$ is due. The issue fee by 37 CFR 1.18 is \$ The publication fee, if required, by 37 CFR 1.18(d) is \$
	The issue fee and publication fee, if applicable, have not been received.
	Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTOL-37).
	Proposed corrected drawings were received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply.
	No corrected drawings have been received.
	The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all the applicants.
	The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1,34(a)) upon filing of a continuing application.
	The decision by the Board of Patent Appeals and Interferences rendered on and because the period for seeking court review of the decision has expired and there are no allowed claims.
	The reason(s) below: Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.
11	

Attachment to Notice of Abandonment

For questions concerning the notice contact Office of Patent Publication Image Assistance Center: 888-786-0101.

Information is also available on the USPTO Internet web site: http://www.uspto.gov/web/patents/pubs/abandonnotice.html

Respond to the Notice of Abandonment by one of the following:

1. Petition To Withdraw Holding of Abandonment (See MPEP 711.03(c) I and 37 CFR § 1.181) No fee required

Where an applicant contends that the application is not in fact abandoned (e.g., & reply was in fact filed), a petition under 37 CFR § 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate course of action. Any petition under 37 CFR § 1.181 to withdraw the holding of abandonment not filed within 2 months of the mail date of a Notice of Abandonment may be dismissed as untimely under 37 CFR § 1.181(f). In order for a petition to be granted, the evidence must be sufficient according to 37 CFR § 1.8(b) Certificate of Mailing 37 CFR § 1.10 "Express Mail" mailing or MPEP 503 Postcard Receipt as Prima Facie Evidence. The petition should be addressed as follows:

By mail: Mail Stop: Issue Fee, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 By facsimile: 703-872-9306

2. Petition To Withdraw Holding Of Abandonment Based On Failure To Receive Office Action (MPEP 711.03(c) II and 37 CFR § 1.181). No fee required

Where an applicant contends that the original Notice of Allowance and Fee(s) Liue was never received, if adequately supported, the Office may grant the petition and remail the Office action. The showing required establishing non-receipt of an Office communication must include a statement from the practitioner stating that the Office communication was not received and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the nonreceived Office would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

Petition should be addressed to the Technology Center handling the application as follows: By mail: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

3. Petition To Revive An Abandoned Application (See MPEP 711.03(¢) III)

Where there is no dispute as to whether an application is abandoned (e.g., the applicant's contentions merely involve the cause of abandonment) a petition under 37 CFR § 1.137 (a) or (b) (accompanied by the appropriate petition fee) is necessary to revive the abandoned application. The text of these rules is available on the USPTO Internet Web site. Forms for these petitions, "Petition For Revival Of An Application For Patent Abandoned Unavoidably Under 37 CFR § 1.137(a)," PTO/SB/61, and "Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b)," PTO/SB/64, are available in the forms section of the USPTO website: http://www.uspto.gov.

Petitions under 37 CFR § 1.137 should be addressed to the Office of Petitions as follows:

By mail: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

Note: Abandonment takes place by operation of law for failure to reply to an Office action or timely pay the issue fee, not by operation of the mailing of a Notice of Abandonment



EXHIBIT 2

MAY 2 7 2005 PADEMARY Under the Paperwork Reduction Act of 1995, no persons are required to r

PTO/SB/122 (09-03)
Approved for use through 11/30/2005. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
De collection of information unless it displays a valid OMB control country.

CHANGE OF CORRESPONDENCE ADDRESS Application

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.

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Application Number	09/937,047		
Filing Date	January 8, 2002		
First Named Inventor	Jani EKMAN		
Art Unit	2681		
Examiner Name	Perez, Julio		
Attorney Docket Number	6173/4007US		

Please change the Corresp	pondence Address for the above-identifie	od patent ap	optication to: (NE	J - PL	FASE CHANGE)
Customer Number	43829			,	
OR					
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data associated with an ex Change* (PTO/SB/124). I am the: Applicant/Inv Assignee of Statement use Attorney or A Executed on Typed or Printed Robert M. Bau Name	record of the entire interest. Inder 37 CFR 3.73(b) is enclosed. (Form Agent of record. Registration Number _3 Practitioner named in the application tran Ith or declaration. See 37 CFR 1.33(a)(1)	PTO/SB/96 4,487 smittal lette). Registrati	3).		an
Date 08/19/2004	re or assignees of record of the entire interest			Ired, Subi	mit multiple
forms if more than one signature is	required, see below*.				

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

forms are submitted.

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PTO/SB/80 (12-03)
Appeared for use through 11/30/2005. OMB 0651-0036
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

X Practitioners associated with the Customer Number:	43829	
OR		
	- 1/6 are to be gomed than a CIE	tomar number must be used).
Practitioner(s) named below (if more than ten patent pra		
Name	Regist	ation Number
attached to this form in accordance with 37 CFR 3.73(b) Assignee Name and Address: Nokia Corporation Keilaladentie 4 02150 Espoo		
FINLAND		
A copy of this form, together with a statemen required to be filed in each application in whimay be completed by one of the practitioners authorized to act on behalf of the assignee, a Attorney isto be filed.	ch this form is used. The sta s appointed in this form if the	itement under 37 CFR 3./3(b) appointed practitioner is
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This extension of information is required by 37 CFR 1.31 and 1.33. (This information is required to obtain or retain a benefit by the public which is to fite (and by the USPTO to process) an application. Conditionally is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to contiplete, the control of the second of the control of the control

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



EXHIBIT 3

Page 1 of 1



United States Patent and Trademark Office

UNITEI) STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address DOMMISSIONER FOR PATENTS ED. 1450 Abandris, Veginia 22313-1450 www.mpto.gov

APPLICATION NUMBER FILING OR 371 (e) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

09/937,047 01/08/2002 Jani Ekman NOKI14-00007

43829 ROBERT M BAUER ESQ BROWN RAYSMAN MILLSTEIN FELDER & STEINER 900 THIRD AVE NEW YORK, NY 10022 *OC0000014982378*

Date Mailed: 01/19/2005

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/19/2004.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

09937047

MAY 2 7 2005

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FIEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address; and/or (b) indicating a separate "FEE indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE	dence addre ADDRESS	vnere :ss a: }" for
Multated united straight and the martifications		

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address)

7590

01/10/2005

Docket Clerk PO Box 802432 Dallas, TX-75380 Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facaimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

M. Bayrer (Signatur (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
00/027 047	01/08/2002	Jani Ekman	NOKI14-00007	6306

TITLE OF INVENTION: HANDOVER IN A MOBILE COMMUNICATION SYSTEM USING CONFERENCE FACILITY

APPLN TYPE	SMALL ENTITY	ISSUB FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	04/11/2005
EXAMINER ART U		ART UNIT	CLASS-SUBCLASS]	
PEREZ, JULIO R 26		2681	455-436000	· .	
CFR 1,363). Change of correspond Address form PTO/SB/ "Fee Address" indic PTO/SB/47; Rev 03-02 Number is required.	ation (or "Fee Address" Indic or more recent) attached. Us	Correspondence (1) or a (2) region of a Customer 2 re list	for printing on the patent front page, li the names of up to 3 registered paten gents OR, alternatively, the name of a single firm (having as istered attorney or agent) and the nan gistered patent attorneys or agents. If ed, no name will be printed. ATENT (print or type) will appear on the patent. If an assignment.	a member a 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	
(A) NAME OF ASSIGN		(B) RES	DENCE: (CITY and STATE OR CO	OUNTRY)	group entity Government
4a. The following fee(s) as	e enclosed: o small entity discount permit	4b. Payri	nent of Fee(s): check in the amount of the fee(s) is e syment by credit card. Form PTO-203 the Director is hereby authorized by sit Account Number 22 - 42 7	8 is attached.	or credit any overpayment, to a copy of this form).
	us (from status indicated above SMALL ENTITY status. See O is requested to apply the Is	.27 CED 127 ∐h	Applicant is no longer claiming SMA ce (if any) or to re-apply any previous anyone other than the applicant; a re- e.	ALL ENTITY status. See 37	CFR 1.27(g)(2). ication identified above. r the assignee or other party in
NOTE: The Issue Fee and interest as shown by the notes that the notes are also as a shown by the no	Robert A	of In Issuer	Position of the contain or retain a benefit by	May 21,	7

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file day the vote of the public which is to file day in the vote of the public which is to file day in the vote of the public which is the public which is estimated to take 12 minutes to complete; including gathering, preparing, and an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete; including gathering, preparing, and an application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete submitting the completed application for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Alexandria, Virginia 22313-1450.

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PTOL-85 (Rev. 12/04) Approved for use through 04/30/2007.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

금션AGE 13/15 * RCVD AT 5/27/2005 4:55:37 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/0 * DNIS:7464000 * CSID:212 895 2900 2 * DURATION (mm-ss):08-06

Atty. Docket 6173-4007US

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N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Jani EKMAN et al.

Serial No.

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January 8, 2002

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HANDOVER IN A MOBILE COMMUNICATION SYSTEM

USING CONFERENCE FACILITY

Examiner

Julio Perez

Group Art Unit

2681

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

May 27, 2005

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Sir:

Applicants gratefully acknowledge the Notice of Allowability mailed on January 10, 2005.1 The Reasons for Allowance states that the present invention is directed to the use of handovers in a mobile communication system in an "IP-based telecommunications network." While it is true that embodiments of the invention may be implemented in an IP-based telecommunications network, it should be pointed out, however, that none of the allowed claims recite and thus require an "IP-based telecommunications network."

The Reasons for Allowance also states that the prior art fails to teach "operation to control a zone of a network, which provides a conference call, to include sound, data, and video, where means for receiving a hand-off requiring indication and opening a conference call channel as a second communication channel for such call and further handing over the call from a first to a second communication channel when the handover is successful to cause the first communication channel to be totally closed." While a conference call can include sound, data and video, none of the allowed claims recite and thus require that the conference call includes

¹ The Notice of Allowability was unfortunately mailed to the wrong address as noted in the accompanying Petition for Revival and for Acceptance of Issue and Publication Fees and Comments on Statement of Reasons for Allowance.

Atty: Docket 6173-4007US

sound, data and video. Also, the claims recite further handing over the call from a first to a second communication channel "if" the handover is successful, and does not recite, and thus require, further handing over of the call from a first to second communication channel at the time "when" the handover is successful. Furthermore, only claims 12-18 and 23 recite "means . . . for receiving said hand-off required indication..." and "means for handing over the call..."

While these quotes roughly correspond to features that are present in the allowed claims, applicants have pointed out above that not every quoted feature is in each one of the allowed claims. Therefore, the claims are allowable over the prior art for somewhat different reasons than those noted in the Reasons for Allowance.

An Issue Fee Transmittal accompanies this Comment on Statement of Reasons for Allowance. Please charge any shortage in fees, including extension of time fees, to Deposit Account No. 02-4270 (6173-4007) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

Robert M. Bauer, Reg. No. 34,487

Brown Raysman Millstein Felder & Steiner, LLP

900 Third Avenue

New York, NY 10022 Tel.: (212) 895-2000

Fax: (212) 895-2900